REMARKS

Claims $36-48^*$ remain pending in this application, with Claims 36 and 37 being independent.

Applicants have amended Claims 36 and 37. The amendment to each claim introduces Markush language.

Applicants submit that the Action has been marked as a final rejection prematurely and thus request reconsideration of that determination, in the event the Examiner does not agree that the application is in condition for allowance.

Applicants turn now to the substance of the Action.

Section 103 Rejections

Claim 36 stands rejected under 35 U.S.C. § 103(a) as allegedly being unpatentable over U.S. Patent No. 6,207,786 (Ishida) for the reasons given at pages 2-3 of the Action.

Claims 36-47 stand rejected under 35 U.S.C. § 103(a) as allegedly being unpatentable over Ishida as applied to Claim 36 and further in view of U.S. Patent No. 6,906,120 (Davis) and furthering view of U.S. Patent Nos. 6,034,194 (Dershem) or 6,034,195 (Dershem).

^{*} The Action does not mention Claim 48, though the claim remains pending herein.

Applicants' amendment of Claims 36 and 37 addresses the Examiner's concerns articulated in paragraph 4, pages 2-3 and paragraph 7.1, page 4 of the Action regarding the preclusion of "additional thermoset compounds such as phenolics" and "the poly(arylene ether) resins of Davis", respectively, and thus overcomes the Section 103 rejection thereof.

As the Examiner is aware, the present invention is directed to and claims a thermosetting resin composition for adhering materials with dissimiliar coefficients of thermal expansion. Thus, the present invention is defined as a thermosetting resin composition, which consists essentially of a) a benzoxazine compound in liquid form at room temperature, b) thermoset compounds selected from epoxy, cyanate ester, maleimide, acrylate, methacrylate, vinyl ether, styrenic, vinyl ester, propargyl ether, diallylamide, aromatic acetylene, benzocyclobutene, thiolenes, maleate, oxazoline, and itaconate, c) optionally, one or more anti-oxidants, bleed control agents, fillers, diluents, coupling agents, adhesion promoters, flexibilizers, dyes and pigments, and d) a cure initiator.

The thermoset compounds are thus defined to be recited from a Markush group, which Markush group does <u>not</u> include phenolic or poly(arylene ether).

Ishida is directed to and claims a ternary composition comprising: a) from about 10 to about 80 weight percent of a benzoxazine monomer, b) from about 10 to about 80 weight percent of an epoxy reactant, and c) from about 1 to about 80 weight percent of a phenolic resin or phenolic compound, where the weight percents are based upon the total binder from a, b, and c in said composition, and the benzoxazine monomer comprises on average at least two benzoxazine rings per molecule.

Thus, as Ishida defines his invention as a <u>ternary</u> composition, Ishida expressly requires each of benzoxazine, epoxy <u>and</u> phenolic, and each of these three particular components in the amounts specified.

Here, the invention is defined in Claim 36 with regard to benzoxazine in liquid form at room temperature (perhaps with epoxy), but not with phenolic, let alone their combination or in the amounts specified.

And Claim 36 requires that the materials to be adhered have dissimilar coefficients of thermal expansion. Ishida makes no such reference to the materials to be adhered having dissimilar coefficients of thermal expansion.

A document cited under Section 103 must provide some teaching or suggestion to prepare a particular combination in

order for the reference to render a particular combination obvious; "[e]ven when obviousness is based on a single prior art reference, there must be a showing of a suggestion or motivation to modify the teachings of that reference." In re Kotzab, 55 USPQ2d 1313, 1316-1317 (Fed. Cir. 2000), citing B.F. Goodrich Co. v. Aircraft Breaking Sys. Corp., 37 USPQ2d 1314, 1318 (Fed. Cir. 1996). No such teaching or suggestion is provided in Ishida.

Thus, the Section 103 rejection of Claim 36 as allegedly being unpatentable over Ishida should no longer be maintained.

As regards the second Section 103 rejection, in addition to Ishida, three secondary documents are cited as references, the first of which being Davis.

Davis is directed to and claims an adhesive formed from a composition comprising, based on 100 weight percent of the resin portion of the composition: about 5 to about 50 weight percent of a poly(arylene ether) resin having a number average molecular weight of about 8,000 to about 13,000; about 50 to about 90 weight percent of a thermosetting resin selected from cyanate esters, polyesters, epoxy, benzoxazines,

benzocyclobutene resins, and mixtures thereof; about 0.5 to

about 15 weight percent of a toughening agent selected from the group consisting of poly(vinyl butyral-co-vinyl acetate) resins, partially hydrolyzed poly(vinyl butyral-co-vinyl acetate) resins, styrene-butadiene-styrene block copolymers, styrene-ethylene-styrene block copolymers, and styrene-ethylene-butylene-styrene block copolymers; and about 0.1 to about 7 weight percent of a cure agent.

Davis requires among other things a poly(arylene ether) resin, and that resin must be present in an amount of about 5 to about 50 weight parts. Applicants, in their claims, require no such resin, but do require instead a benzoxazine in liquid form at room temperature, and a thermoset compound selected from epoxy, cyanate ester, maleimide, acrylate, methacrylate, vinyl ether, styrenic, vinyl ester, propargyl ether, diallylamide, aromatic acetylene, benzocyclobutene, thiolenes, maleate, oxazoline, and itaconate, none of which being a poly(arylene ether).

And Claims 36 and 37 require that the material to be adhered have dissimilar coefficients of thermal expansion. The material doing the adhering -- the inventive composition defined -- does not recite poly(arylene ether) in the Markush group identifying the thermoset compound to be used with the

benzoxazine in liquid form. Davis makes no such reference to the materials to be adhered having dissimilar coefficients of thermal expansion.

Dershem '194 is directed to and claims an adhesive composition consisting essentially of a liquid <u>bismaleimide</u> having a certain structure.

Dershem '195 is directed to and claims a die-attach paste comprising in the range of about 10 to 80 weight percent of a thermosetting resin composition, and in the range of about 20 to 90 weight percent of a conductive filler. The thermosetting resin composition comprises a) a liquid maleimide, b) in the range of about 0.01 to about 10 equivalents of a vinyl compound per equivalent of maleimide, c) in the range of 0.2 to 3 weight percent of at least one free radical initiator, based on the total weight of the composition, and d) in the range of 0.1 to 10 weight percent of at least one coupling agent based on the total weight of the composition. The coupling agent has both a co-polymerizable function and a silicate ester function.

Thus, Dershem '194 and '195 speak to liquid <u>maleimide</u> containing thermosetting resin compositions.

While Davis refers generally to benzoxazines as a component for use in combination with the above-noted poly

(arylene ether), each of Dershem '194 and '195 speak to liquid maleimide containing thermosetting resin compositions. The present invention is defined in terms of a composition having a benzoxazine (not maleimide) in liquid form at room temperature in combination with a thermoset compound, which is not defined to be a poly(arylene ether).

In addition, there is no disclosure, teaching or suggestion in Davis to look to either Dershem '194 or Dershem '195 for a benzoxazine compound at all, let alone one in liquid form at room temperature. And there is no disclosure in either Dershem '194 or Dershem '195 to look to Davis for a benzoxazine, irrespective of its physical state.

Only the present invention provides a thermosetting resin composition, and a method for enhancing the adhesive strength thereof, based on such a benzoxazine compound in liquid form at room temperature together with thermoset compounds selected from epoxy, cyanate ester, maleimide, acrylate, methacrylate, vinyl ether, styrenic, vinyl ester, propargyl ether, diallylamide, aromatic acetylene, benzocyclobutene, thiolenes, maleate, oxazoline, and itaconate, optionally, one or more anti-oxidants, bleed control agents, fillers, diluents,

coupling agents, adhesion promoters, flexibilizers, dyes and pigments, and a cure initiator.

Frankly, there is no motivation for persons of ordinary skill in the art to look to the two cited Dershem documents, to remedy the deficiencies of Ishida and Davis, or vice versa, to reach the invention as now claimed.

It is a fundamental principle that the invention is defined by the claims as a whole, considering all of the language used to define the invention. One is not simply to parse selected portions of the invention as so defined and, then search the state of the art for each of those selected portions with the goal of later recombining those selected portions from the state of the art to reach the invention as claimed as a whole.

The Examiner's determination of obviousness could only have come about from the use of impermissible hindsight.

Hindsight as the Examiner knows can find no place in the examination of applications for Letters Patent.

The citation in the Action to <u>In re McLaughlin</u> is of no moment, because it is well settled by more recent case law from the Court of Appeals for the Federal Circuit that there must be some clear and particular teaching, suggestion or reason

to combine the documents cited in the manner in which they have been cited so as to achieve a reasonable expectation of success in reaching the invention as claimed.

The rejection of the pending claims instead has clearly been based on hindsight reconstruction, which as noted above, is an impermissible way in which to construct a prima facie case of obviousness.

At the risk of sounding redundant, Applicants remind the Examiner that the test for establishing a teaching, motivation, or suggestion, whether or not implicit, in the documents of record is what combination of statements therein would have suggested the claimed combination to those persons of ordinary skill at the time the invention was made, considered in the context of the teaching of each of the entire documents, and not viewed in the abstract or at the time of examination. The rejection of claims cannot be predicated on the mere identification in the documents of record of individual components of the recitations in the claimed combination; rather, particular findings must be made as to why the skilled artisan, with no knowledge of the claimed invention, would have selected the specific components for combination in the manner claimed. In re Kotzab, 55 USPQ2d 1313, 1318 (Fed. Cir. 2000).

Because the documents relied upon by Examiner, taken collectively, do not include any teaching or suggestion to formulate a thermosetting resin composition which comprises, in the same composition:

- (i) a benzoxazine component;
- (ii) the benzoxazine component being in liquid form; and
- (iii) a thermoset compound selected from epoxy, cyanate ester, maleimide, acrylate, methacrylate, vinyl ether, styrenic, vinyl ester, propargyl ether, diallylamide, aromatic acetylene, benzocyclobutene, thiolenes, maleate, oxazoline, and itaconate, the invention defined by Claims 36-48 is not properly rejected as obvious over Ishida alone or in combination with Davis and the two Dershem documents discussed above.

Based on the above, therefore, Applicants submit the application is in condition for allowance.

This paper in any event represents an earnest attempt at advancing prosecution on the merits, and thus respectfully submits that entry thereof is proper and at a minimum helps to focus the issues for appeal.

Applicants' undersigned attorney may be reached by telephone at (860) 571-5001, by facsimile at (860) 571-5028, or by email at steve.bauman@us.henkel.com. All correspondence should continue to be directed to the address given below.

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